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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of)	
)	
Applicants: James Benjamin Williams et al.)	
)	Examiner
Serial No. 10/797,889)	Stephen R. Wasylchak
)	
Filed: March 10, 2004)	Art Unit 3624
)	
Title: Methods for issuing, distributing, managing)	
and redeeming investment instruments)	
providing securitized annuity options)	

Commissioner of Patents and Trademarks
Washington, DC 20231

Dear Sir:

FAX TRANSMISSION COVER LETTER

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Respectfully submitted,



Dated: January 4, 2005

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PTO/SB/31 (09-04)

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NOTICE OF APPEAL FROM THE EXAMINER TO THE BOARD OF PATENT APPEALS AND INTERFERENCES		Docket Number (Optional) D-51	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on <u>Sent by FAX to (503) 872-9309</u> Signature <u></u> Typed or printed name <u>Charles G. Call</u>		In re Application of James Benjamin Williams et al. <hr/> Application Number <u>10/797,889</u> Filed <u>3/10/2004</u> <hr/> For Systems and methods for modifying broadcast programming <hr/> Art Unit <u>3624</u> Examiner <u>Steven Wasyichak</u>	
Applicant hereby appeals to the Board of Patent Appeals and Interferences from the last decision of the examiner.			
The fee for this Notice of Appeal is (37 CFR 41.20(b)(1))		\$ <u>500</u>	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee shown above is reduced by half, and the resulting fee is:		\$ <u>250</u>	
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WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.			
I am the		<u></u> Signature	
<input type="checkbox"/> applicant/inventor.		<u>Charles G. Call</u> Typed or printed name	
<input type="checkbox"/> assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/86)		<u>508-778-2630</u> Telephone number	
<input checked="" type="checkbox"/> attorney or agent of record. <u>20,406</u> Registration number		<u>January 4, 2005</u> Date	
<input type="checkbox"/> attorney or agent acting under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34. _____			
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.			
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